

Facts	WHAT DOES SUCCESSFUL MONEY STRATEGIES, INC. DO WITH YOUR FINANCIAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect, and share depends on the product or service you have with us. This information can include: • Social Security Number, Driver's License Number • Investment Experience • Income, Financial Net Worth • Account Transactions • Education History, Employment Information • Assets When you are <i>no longer</i> our customer, we will continue to hold your information and share it as described in this notice.
How?	All financial companies need to share customers' personal information to perform their services and run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Successful Money Strategies, Inc. chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Successful Money Strategies, Inc. share?	Can you limit this sharing?
For our everyday business purposes — such as to process your transactions, maintain your account(s) or respond to court orders and legal investigations	Yes	No
For our marketing purposes — to provide you with newsletters and other documents, and announcements regarding our services.	Yes	Yes
For joint marketing with affiliates (We have no affiliates.)	N/A	N/A
For joint marketing with non-affiliates	No	We don't share
For our affiliates' everyday business purposes — information about your transactions, accounts, etc.	N/A	N/A
For our affiliates to market to you	N/A	N/A
For non-affiliates' (accountants, attorneys and other business professionals) everyday business purposes — in order to ensure appropriate information is required for tax purposes, or for tax planning or estate planning or asset protection planning purposes.	Yes, but only with your expressed consent	Yes
For our non-affiliates to market to you	No	We don't share

To Limit Our Sharing
Call (508) 730-2300 or Visit us online: www.successfulmoney.com Please note: When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

Who is providing this notice?	Successful Money Strategies, Inc. 10 North Main Street #2C Fall River, MA 02720
How does Successful Money Strategies, Inc. protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards (such as password protection or encryption) and restricting access to physical files (such as locked cabinets or building).
How does Successful Money Strategies, Inc. collect my personal information?	We collect your personal information, for example, when you: • Open an account • Deposit money • Seek advice about your investments • Enter into an investment advisory contract • Provide us with information about your investment or retirement portfolio or earnings We may also collect your personal information from other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only: • Sharing for affiliates' everyday business purposes • Affiliates from using your information to market to you • Sharing for non-affiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account, I hold jointly with someone else?	Your choices will apply to everyone on your account – unless you tell us otherwise.
Affiliates	Companies related by common ownership and/or control. They can be financial and nonfinancial companies.
Non-affiliates	Companies not related by common ownership and/or control. They can be financial or nonfinancial companies.
Joint marketing	A formal agreement between non-affiliated financial companies that together market financial products or service to you.
Information for California, North Dakota, and Vermont Customers	
In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.	